

Application Number 09/804,612 March 12, 2001 **Filing Date TRANSMITTAL FORM First Named Inventor** Muhammed Ibrahim Sezan, et. al. (to be used for all correspondence after initial filing) 2179 Art Unit Truc. T. Chuong **Examiner Name** Attorney Docket Total Number of Pages in Submission 577 SLA 141.4 (7146.0115)

Number

ENCLOSURES (Check all that apply)						
□ Fee Transmittal F	Form	☐ Drawing(s)		vance communication		
□ Fee Attached		☐ Licensing-related Papers	toTechnology Center (TC)			
☐ Amendment/Rep	lý	☐ Petition		ommunication to Board of and Interferences		
☐ After Final		Petition to Convert to a		ommunication toTC (Appeal		
☐ Affidavits/dec	laration(s)	Provisional Application		ief, Reply Brief)		
☐ Extension of Time	e Request	Power of Attorney, Revocation Change of Correspondence Address	☐ Proprietar			
☐ Express Abandoi	nment Request	☐ Terminal Disclaimer	Status Le			
☑ Information Discl	osure Statement	☐ Request for Refund	│ ☑ Other End below):	closure(s) (please Identify		
☐ Certified Copy of	Priority Document(s)	CD, Number of CD(s)				
Response to Mis Application	sing Parts/ Incomplete	Remarks				
Response to Missing Parts under 37		Foreign patents, non-patent references, check in the amount of \$180.00 and return receipt postcard.				
CFR 1.52 or	1.53	·				
CICNATURE OF ARRUGANT ATTORNEY OR ACENT						
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT						
Firm	Kevin L. Russell Chernoff, Vilhauer, McClui	ng & Stenzel, LLP				
Or Individual Name	1600 ODS Tower 601 S.W. Second Avenue					
marriada rame	Portland, OR 97204					
Signature						
Date	March 28, 2006					
1						
CERTIFICATE OF TRANSMISSION/MAILING						
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.						
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Signature		njour-	Date	March 28, 2006		

he Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Effective on 12/08/2004. Complete if Known suant to the Consolidated Appropriations Act, 2005 (H.R. 4818). 09/804,612 Application Number **FEE TRANSMITTAL** March 12, 2001 Filing Date for FY 2005 Muhammed Ibrahim Sezan, et. al. First Named Inventor Applicant claims small entity status. See 37 CFR 1.27 **Examiner Name** Truc T. Chuong Art Unit 2179 **TOTAL AMOUNT OF PAYMENT** (\$) 180.00 Attorney Docket No. SLA 0141.4 (7146.0115)

METHOD OF PAYMENT (check all that apply)							
☐ Check ☐ Credit Car	☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify) :						
Deposit Account Dep	Deposit Account Deposit Account Number: 03-1550 Deposit Account Name: Chernoff Vilhauer, et. al.						
For the above-ide	entified depo	sit account, the Direc	tor is hereby	authorized to: (che	eck all that app	oly)	
☐ Charge fee	e(s) indicated	s below		Charge f	ee(s) indicated	d below, except	for the filing fee
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FEE CALCULATION							
1. BASIC FILING, SEA							
	FILING F	EES Small Entity	SEARCH	I FEES Small Entity		ATION FEES Small Entity	
Application Type	Fee (\$)	Fee(\$)	Fee(\$)	Fee(\$)	Fee(\$)	Fee(\$)	Fees Paid (\$)
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	
2. EXCESS CLAIM FEES							Small Entity
Fee Description	aloudin a Daia					Fee (\$)	Fee (\$)
Each claim over 20 (inc Each independent clain						50 200	25 100
Multiple dependent cla	ims	idding reciouds)				360	180
Total Claims	Extra C	laims Fee(\$)	<u>Fe</u>	<u>e Paid (\$)</u>		<u>Multiple</u>	Dependent Claims
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HP = highest number of	· ·			- D-:- (¢)			
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HP = highest number of			_				
3. APPLICATION SIZE	•						
If the specification and d							
	listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50						
sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Page 1.						Fee Paid (\$)	
- 100 = /50 = (round up to a whole number) x							= .
4. OTHER FEE(S)			- ` '		,		Fees Paid (\$)
` '	ecification, \$	130 fee (no small en	tity discoun	t)			
Other (e.g., late	filing surcha	rge) : IDS Filing Fee					<u>\$180.00</u>

SUBMITTED BY				
Signature	4//	Registration No. (Attorney/Agent) 38,292	Telephone	(503) 227-5631
Name (Print/Type)	Kevin L. Russell		Date	March 28, 2006

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Dated: March 28, 2006

Kevin L. Russell

Atty. Docket No.

SLA 0141.4 (7146.0115)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Muhammed Ibrahim Sezan, et. al.

Group Art Unit: 2179

U.S. Pat. App. No.: 09/804,612

Examiner: Truc T. Chuong

Filed: March 12, 2001

Customer No.: 00152

Title: AUDIOVISUAL INFORMATION MANAGEMENT SYSTEM

INFORMATION DISCLOSURE STATEMENT IN ACCORDANCE WITH 37 CFR §1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Applicant submits herewith four sheets of Form PTO-1449 (Modified) listing the patents and other references of which Applicant is aware and which Applicant desires to have considered by the Patent Office is accordance with 37 CFR §1.97. In accordance with 37 CFR §1.97(c)(2), this Information Disclosure Stateme being submitted after the mailing date of a first Office Action on the merits of the above-identified application Accordingly, enclosed is the required fee of \$180.00.

In accordance with 37 CFR §1.97(h), the filing of this Information Disclosure Statement will not be regarded as an admission that any patent or publication or combination of patents referred to herein is, or is considered to be, material to patentability under 37 CFR §1.56(b) unless specifically designated as such.

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The Examiner is requested to initial Form PTO-1449 and return an acknowledgment copy to the Appl to confirm that the listed references were received and considered.

The person making this statement is the attorney who signs below on the basis of the information sup by the inventor and the information in his file.

Respectfully submitted,

CHERNOFF, VILHAUER, McCLUNG & STENZEL

By:

Kevin L. Russell, Reg. No. 38,292 1600 ODS Tower

601 SW Second Avenue Portland, OR 97204

Tel: 503-227-5631 Fax: 503-228-4373

Dated: March 28, 2006

TABLE OF THE STATEMENT BY APPLICANT

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Complete if Known				
Application Number	09/804,612			
Filing Date	March 12, 2001			
First Named Inventor	Muhammed Ibrahim Sezan, et. al.			
Art Unit	2179			
Examiner Name	Truc T. Chuong			
Attorney Docket Number	SLA 0141.4 (7146.0115)			

Examiner Initials	Cite No.1	Document Number Number-Kind Code ^{2 (il known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant, Passages or Relevant Figures Appear
		US-4,321,635	March 23, 1982	Tsuyugychi	riguics Appear
		US-4,324,402	April 13, 1982	Klose	
		US-5,241,671	August 31, 1994	Reed, et. al.	_
		US-5,288,069	February 22, 1994	Matsumoto	<u>.</u>
		US-5,410,344	April 25, 1995	Graves, et. al.	
		US-5,600,781	February 4, 1997	Root, et. al.	
		US-5,758,257	May 26, 1998	Herz, et. al.	
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		US-6,006,265	December 21, 1999	Rangan, et. al.	
		US-6,020,883	February 1, 2000	Herz, et. al.	
		US-6,064,385	May 15, 2000	Sturgeon, et. al.	
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		US-6,177,931	January 23, 2001	Alexander, et. al.	
		US-6,226,678	May 1, 2001	Mattaway	
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		US-2001/0030664	October 18, 2001	Shulman, et. al.	
		US-6,317,881	November 13, 2001	Shah-Nazaroff, et. al.	
		US-2002/0026345	February 28, 2002	Juels	
		US-6,370,504	April 9, 2002	Zick, et. al.	
		US-2002/0097165	July 25, 2002	Hulme	
		US-6,446,261	September 3, 2002	Rosser	
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		US-6,546,555	April 8, 2003	Hjelsvold	
		US-6,553,178	April 22, 2003	Abecassis	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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Considered

i	Cito		U. S. PATENT DOC		Pages, Columns, Lines, Wh	nere
Examiner Initials	Cite No. ¹	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Relevant, Passages or Rele Figures Appear	
		US-6,571,279	May 27, 2003	Herz, et. al.		
		US-2003/0105682	June 5, 2003	Dicker, et. al.		
		US-6,578,075	June 10, 2003	Nieminen, et. al.		
		US-6,581,207	June 17, 2003	Sumita, et. al.		
		US-6,587,127	July 1, 2003	Leeke, et. al.		
		US-6,678,659	January 13, 2004	Van Kommer		
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		US-6,868,440	March 15, 2005	Gupta, et. al.		
		US-2005/0102202	May 12, 2005	Linden, et. al.		
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Examiner Initials	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ³ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant, Passages or Relevant Figures Appear	Ι.
		JP 08-125957	May 17, 1996	Konica Corp.		[
		JP 09-322154	December 12, 1997	Sony Corp.		
		JP 2001-036861	February 9, 2001	Matsushita Electric Ind. Co. Ltd.		
		JP 2002-503896	February 5, 2002	Intel Corporation		
	-	PCT-WO94/14284	June 23, 1994	Discovery Communications, Inc.		╀
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xaminer				Date		_

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NON PATENT LITERATURE DOCUMENTS						
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²			
		EHRMANTRAUT, HARDER, WITTIG & STEINMATZ, "The Personal Electronic Program Guide – Towards the Preselection of Individual TV Programs," Proceedings of the International Conference on Information and Knowledge Management CIKM, ACM, New York, NY, US, November 12, 1996, pp. 243-250.				
		LIEBERMAN, Henry, et. al., "Let's Browse: A Collaborative Web Browsing Agent", Jan/1999, CPP Conference Paper, Proceeding of IUI 99, Intelligent User Interfaces, pp. 65-68.				
		QIAN, et. al., "Description Schemes for Consumer Video Applications" February 1999, Camas, Washington, U.S.A., ISO/IEC JTC1/SC29/WG11 - MPEG-7 Proposal 429,				
		"XML Schema Part 1: Structures," W3C Recommendation 2 May 2001, http://www.w3.org/TR/xmlschema-1/, pages 1-188.				
		"XML Schema Part 2: Datatypes," W3C Recommendation 2 May 2001, http://www.w3.org/TR/xmlschema-2/, pages 1-136.				
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